



Distributed Consensus-Based Cooperative Adaptive Cruise Control (CACC) Systems

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Outline

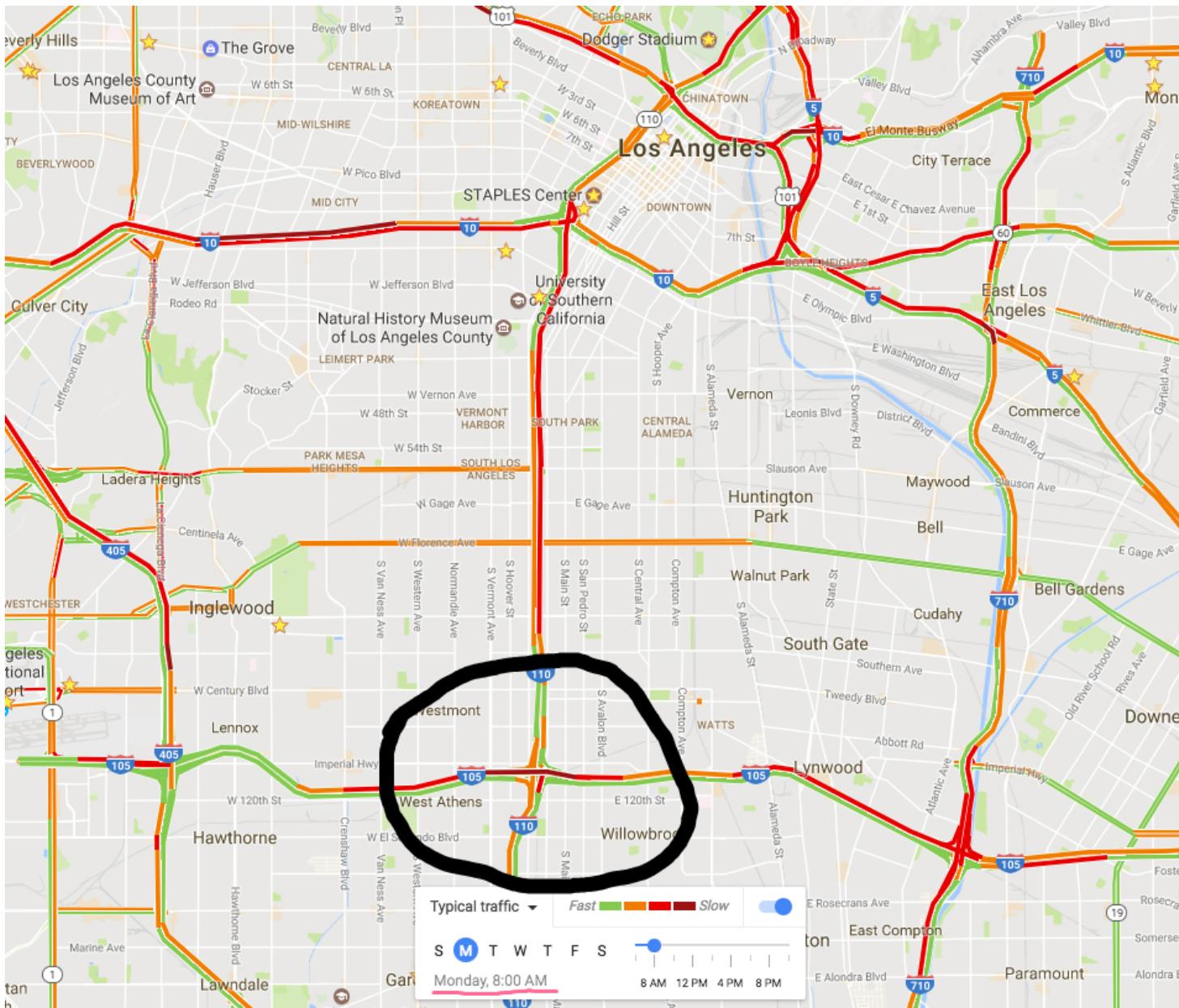
- **Motivation of the Research**
- **Distributed Consensus-Based CACC System**
- **Cluster-Wise Cooperative EAD System**
- **Other Research Topics**



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Wasted Fuel and Wasted Time

- In 2016, Los Angeles tops the global ranking with **104 hour/commuter** spent in traffic congestion
- In 2014, **3.1 billion gallons** of energy were wasted worldwide due to traffic congestion
- In 2013, fuel waste and time lost in traffic congestion cost **\$124 billion** in the U.S.





Automated Vehicle Technology

- **Definition of automated vehicles**

At least some aspects of a safety-critical control function (e.g. , steering, acceleration, or braking) occur without direct driver input

- **Sensing techniques**

Radar, Lidar, GPS, odometry, computer vision, etc.



- **Level of automation by NHTSA**

- Level 0: Driver is in complete and sole control
- Level 1: One or more specific control function is involved
- Level 2: Two or more functions work in unison
- Level 3: Driver cede full control under certain conditions
- Level 4: Driver is not expected to control at any time



Connected Vehicle Technology

- **Definition of connected vehicles**

Vehicles that are equipped with Internet access, and usually also with a wireless local area network

- **Communication flow**

- Based primarily on dedicated short-range communications (DSRC)
- Between vehicles (V2V)
- Between vehicles and infrastructure (V2I/I2V)



(source: connectedvehicle.org)



(source: USDOT)



Merging of Connectivity and Automation

- **Automated Vehicles**
 - **Pros:** In general, partial or full vehicle automation can help **safety**
 - **Cons:** **Mobility and environmental impacts** may remain the same or could even get worse, e.g., adaptive cruise control (ACC) has been shown to have negative traffic mobility impacts
- **Connected Vehicles**
 - **Pros:** Introduction of a significant amount of **information** to support decision making
 - **Cons:** Increase in the driver's cognitive load, thus causing extra **distraction** and system disturbance
- **Therefore, a potentially better solution: Connected + Automated**



Merging of Connectivity and Automation

Autonomous Vehicle

Operates in isolation from other vehicles using internal sensors



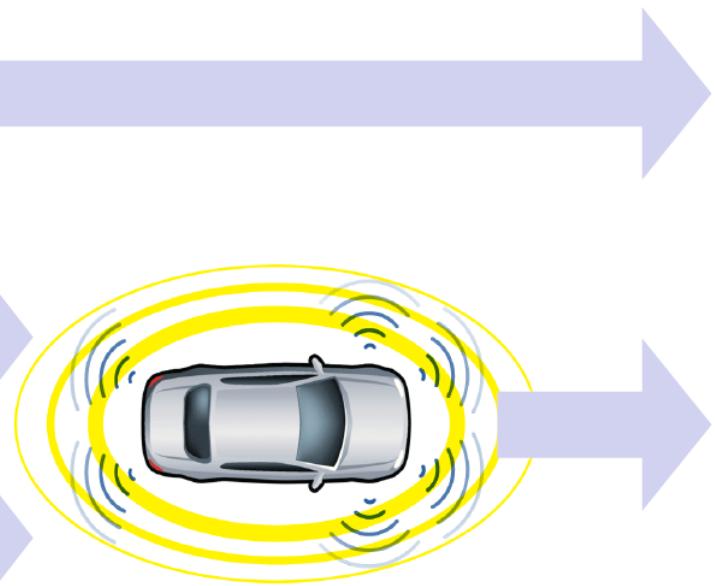
Connected Vehicle

Communicates with nearby vehicles and infrastructure



Connected Automated Vehicle

Leverages autonomous and connected vehicle capabilities

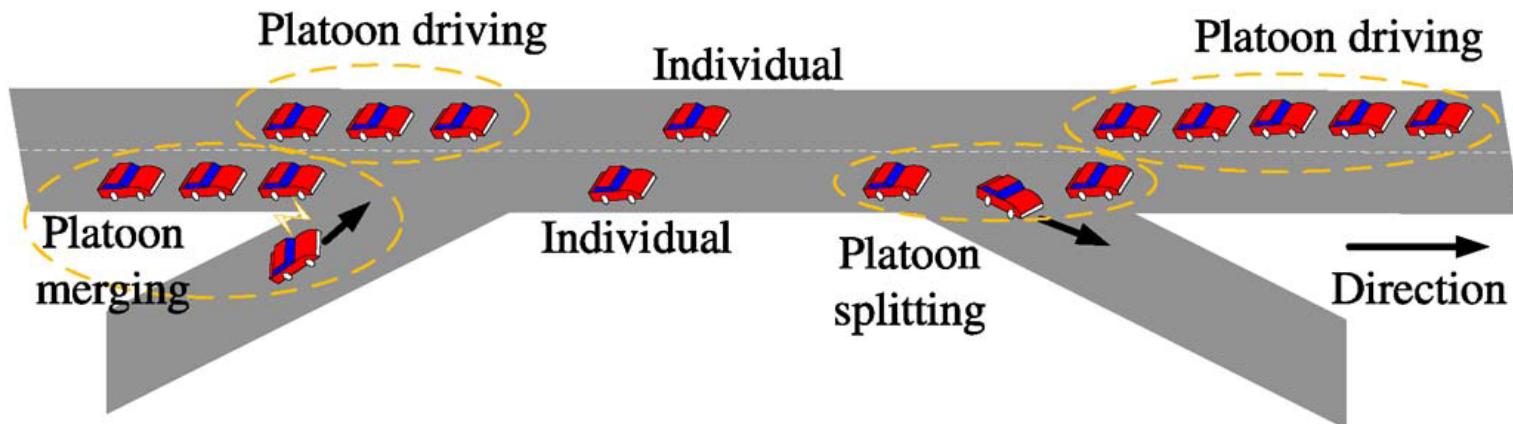


U.S. Department of Transportation
ITS Joint Program Office



Cooperative Adaptive Cruise Control (CACC)

- Take advantage of connected vehicle technology and automated vehicle technology
- Form platoons and driven at harmonized speed with smaller time gap



(D. Jia *et al.*, 2016)



Advantages of CACC

- **Safer** than human driving by taking a lot of danger out of the equation
- **Roadway capacity is increased** due to the reduction of inter-vehicle time gap
- **Fuel consumption and pollutant emissions are reduced** due to the mitigation of aerodynamic drag of following vehicles



(S. Oncu *et al.*, 2014)



(source: www.youtube.com/watch?v=LljnfGXos4c) 12



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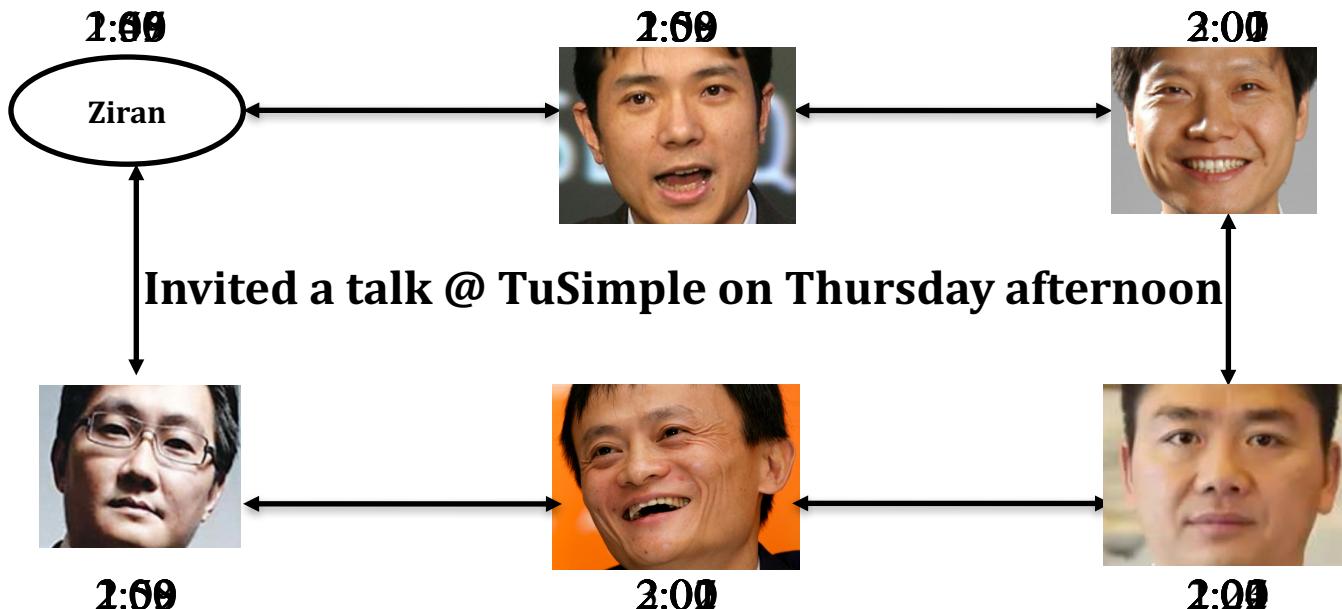
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Distributed Consensus Networks

Reach agreement or consensus upon the value of a variable of interest

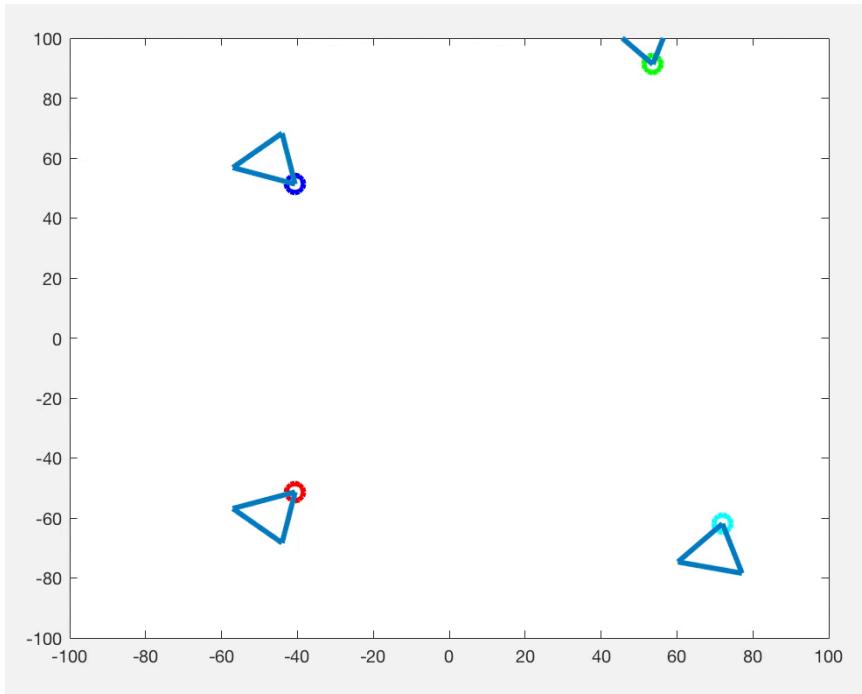
$$x_i[k + 1] = \sum_{j=1}^n a_{ij}[k] x_j[k], \quad i = 1, \dots, n$$



$$\frac{1:00 + 1:00 + 2:00 + 2:00 + 3:00 + 3:00}{6} = 2:00$$

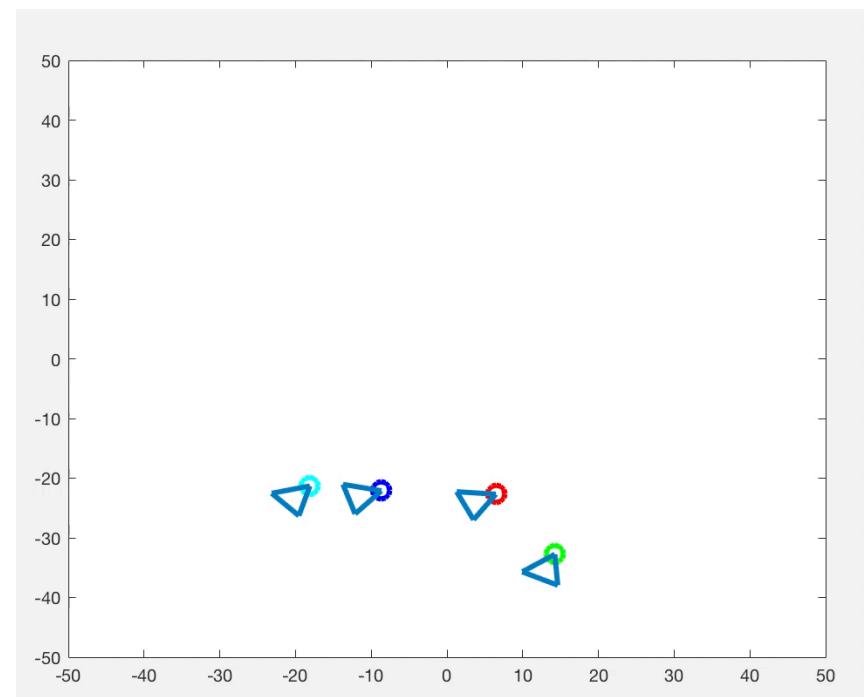


Distributed Consensus Networks



Converge to a desired location

Arrive at their desired locations while preserving the desired formation shape





Distributed Consensus Algorithm for the CACC system

$$\begin{cases} \dot{x}_i(t) = v_i(t) \\ \dot{v}_i(t) = -a_{ij}[x_i(t) - x_j(t - \tau_{ij}(t))] + l_{if} + l_{jr} + \dot{x}_j(t - \tau_{ij}(t))(t_{ij}^g + \tau_{ij}(t))b_i \\ \quad - \gamma a_{ij} [\dot{x}_i(t) - \dot{x}_j(t - \tau_{ij}(t))] \end{cases} \quad i = 2, \dots, n, j = i - 1$$

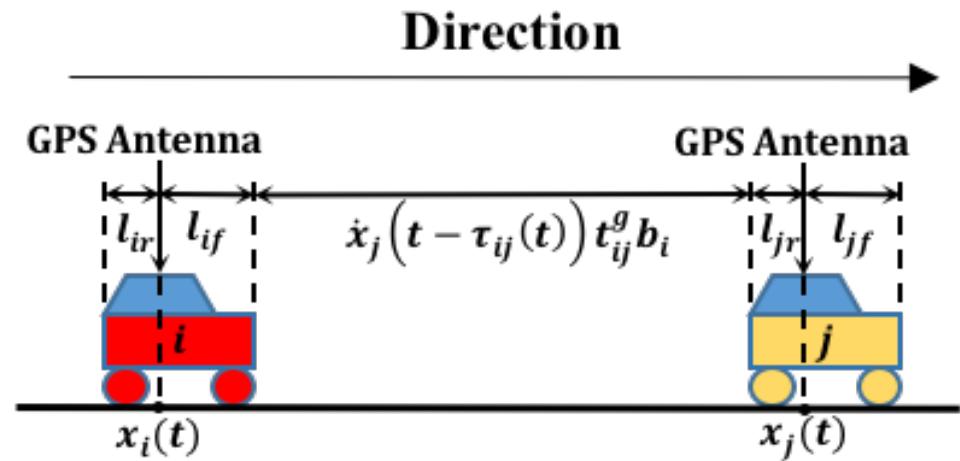
$x_i(t)$	Longitudinal position of vehicle i at time t	t_{ij}^g	Inter-vehicle time gap
$\dot{x}_i(t)$	Longitudinal speed of vehicle i at time t	l_{if}	Length between GPS antenna to front bumper
$\dot{v}_i(t)$	Longitudinal acceleration of vehicle i at time t	l_{jr}	Length between GPS antenna to rear bumper
a_{ij}	(i, j) th entry of the adjacency matrix	b_i	Braking factor of vehicle i
$\tau_{ij}(t)$	Communication delay at time t	γ	Tuning parameter



Distributed Consensus Algorithm for the CACC system

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Predecessor following topology





Distributed Consensus Algorithm for the CACC system

$$\begin{cases} \dot{x}_i(t) = v_i(t) \\ \dot{v}_i(t) = -a_{ij} [x_i(t) - x_j(t - \tau_{ij}(t)) + l_{if} + l_{jr} + \dot{x}_j(t - \tau_{ij}(t)) (t_{ij}^g + \tau_{ij}(t)) b_i] \\ \quad - \gamma a_{ij} [\dot{x}_i(t) - \dot{x}_j(t - \tau_{ij}(t))] \end{cases}$$

position consensus

velocity consensus

$i = 2, \dots, n, j = i - 1$

- **Consensus is reached by a platoon of vehicles if**

$$\begin{cases} \|x_i(t) - x_j(t - \tau_{ij}(t))\| \rightarrow l_{if} + l_{jr} + \dot{x}_j(t - \tau_{ij}(t)) (t_{ij}^g + \tau_{ij}(t)) b_i \\ \|\dot{x}_i(t) - \dot{x}_j(t - \tau_{ij}(t))\| \rightarrow 0 \end{cases}$$

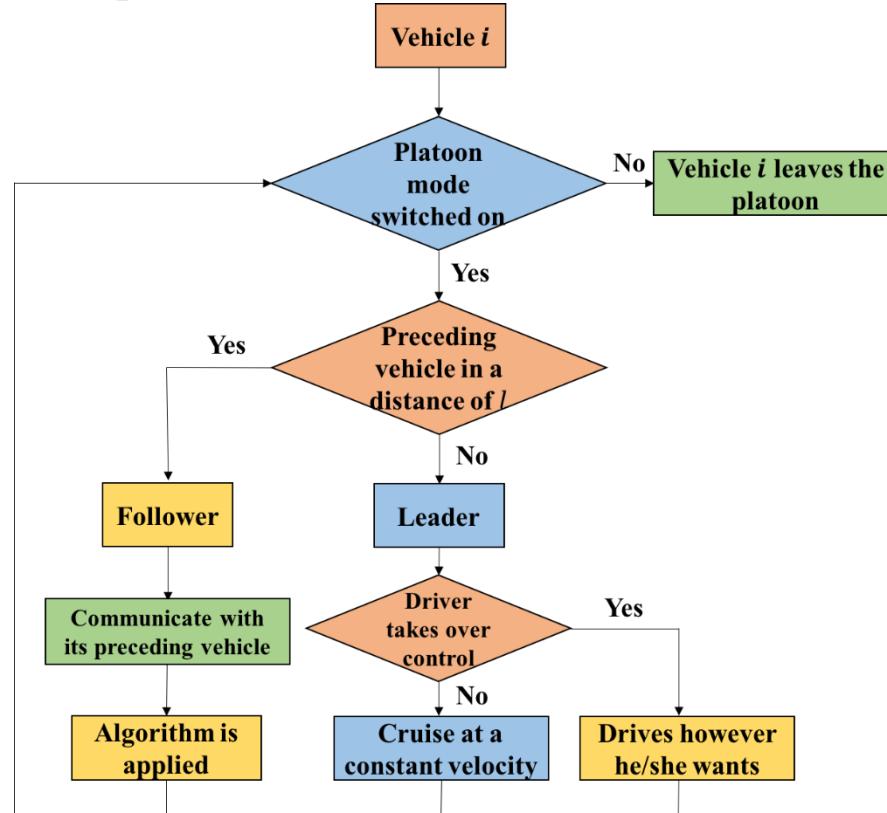


Distributed Consensus Protocol for the CACC system

- **Assumption**

Every vehicle in the system is equipped with appropriate sensors

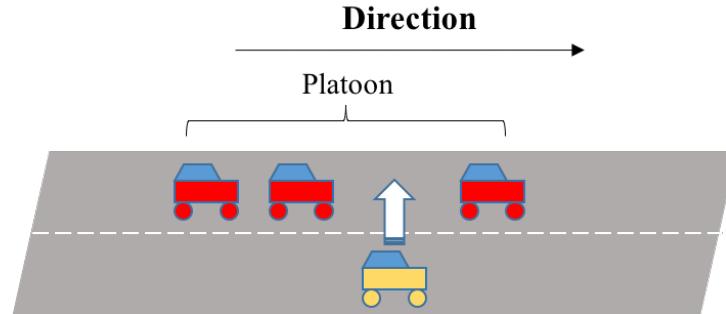
- **Protocol 1: Normal platoon formation**





Distributed Consensus Protocol for the CACC system

- **Protocol 2: Merging and splitting maneuvers**

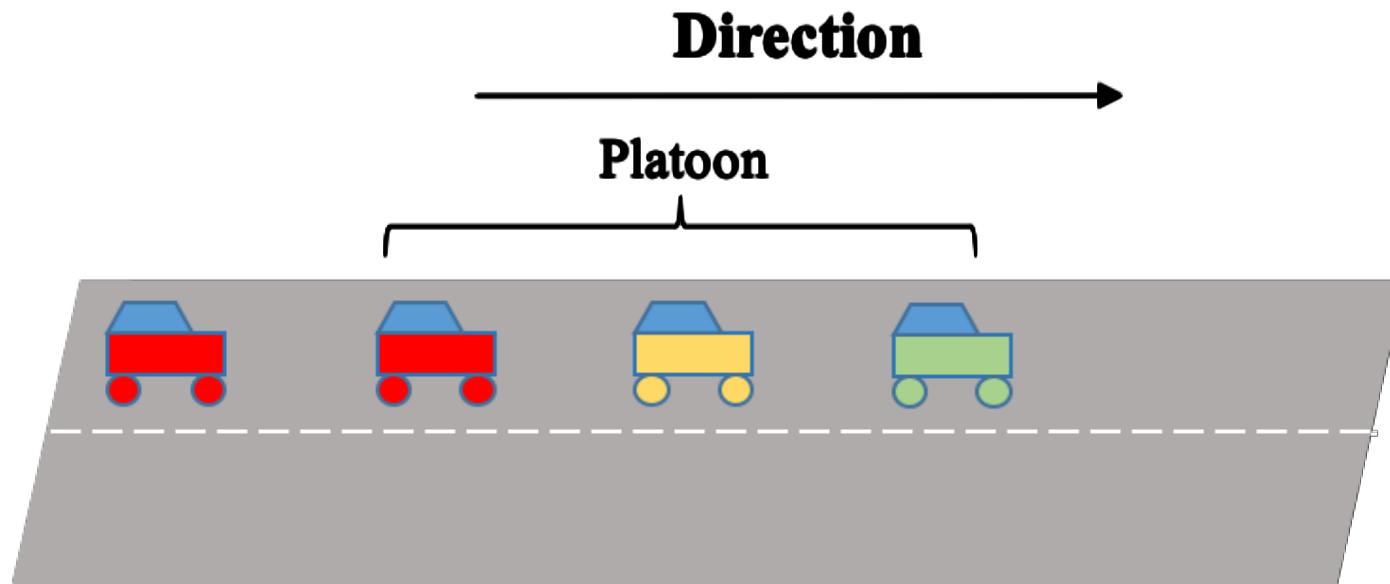


1. Vehicle i communicates with the platoon and decides the j th vehicle of the platoon.
2. A “ghost” vehicle with respect to vehicle $j - 1$ in the platoon will be created on the lane vehicle i is on.
3. Vehicle i autonomously adjusts its absolute position and velocity with the “ghost” vehicle by distributed consensus algorithm proposed.
4. A “ghost” vehicle with respect to vehicle i is created in front of vehicle $j + 1$, and vehicle $j + 1$ starts to create a gap for vehicle i by distributed consensus algorithm proposed.
5. Vehicle i merges into the platoon.



Distributed Consensus Protocol for the CACC system

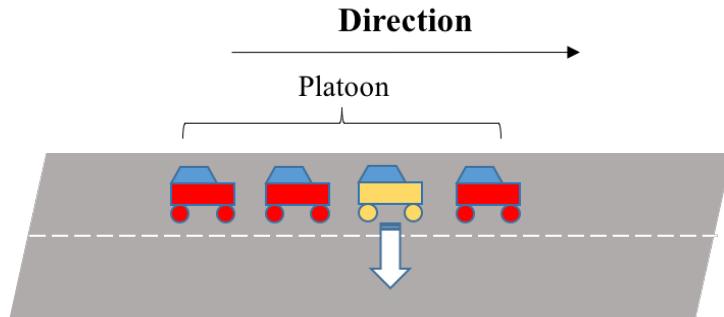
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Distributed Consensus Protocol for the CACC system

- **Protocol 2: Merging and splitting maneuvers**



1. After the splitting mode is activated, the driver can take over the lateral control of the vehicle and perform the lane change without adjusting the velocity longitudinally.
2. After vehicle j completes the lane change, vehicle $j+1$ will sense that its preceding vehicle changes from vehicle j to vehicle $j - 1$, and therefore adjust its velocity to close the gap.
3. A new platoon is formed, where vehicle $j + 1$ becomes vehicle j , and vehicle $j + 2$ becomes vehicle $j + 1$, and so on.

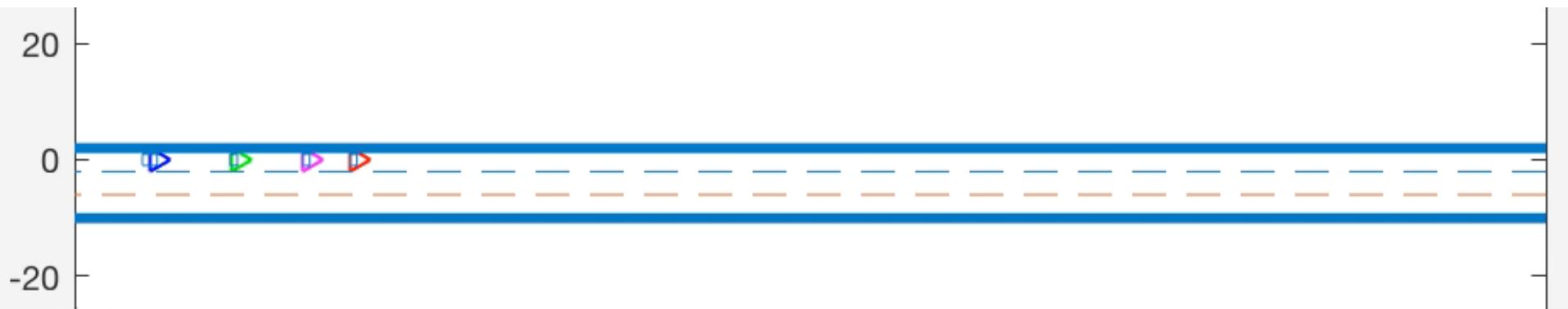


Simulation Study

- Scenario 1: Normal platoon formation

TABLE 1: Values of vehicle parameters.

Parameters	Vehicle 1	Vehicle 2	Vehicle 3	Vehicle 4
GPS antenna to front bumper l_{if}	3 m	3 m	3 m	6 m
GPS antenna to rear bumper l_{ir}	2 m	2 m	2 m	4 m
braking factor b_i	1	1	1.1	1.6
initial velocity \dot{x}_{i0}	30 m/s	33 m/s	36 m/s	39 m/s
desired velocity \dot{x}_i	30 m/s	30 m/s	30 m/s	30 m/s
initial time gap t_{ij0}^g	0.91 s	1.11 s	1.67 s	
initial weighted inter-vehicle distance d_{ij0}	30 m	40 m	65 m	
desired time gap t_{ij}^g	0.43 s	0.48 s	0.69 s	
desired time headway t_{ij}^h	0.6 s	0.64 s	0.86 s	
desired weighted inter-vehicle distance d_{ij}	13 m	14.3 m	20.8 m	
desired unweighted inter-vehicle distance d_{ij}/b_i	13 m	13 m	13 m	

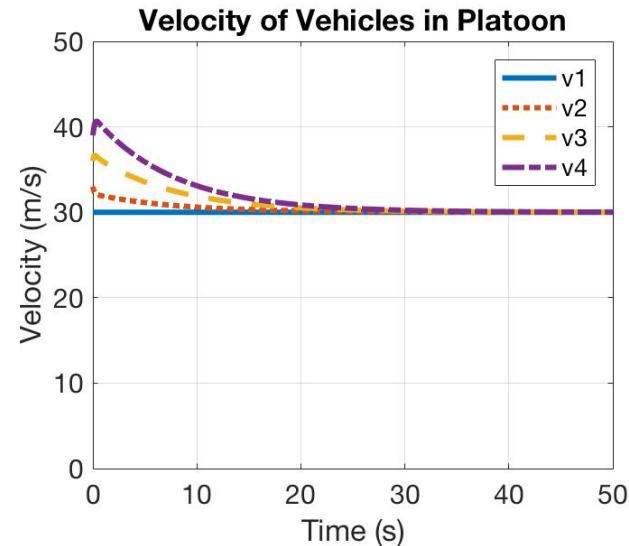
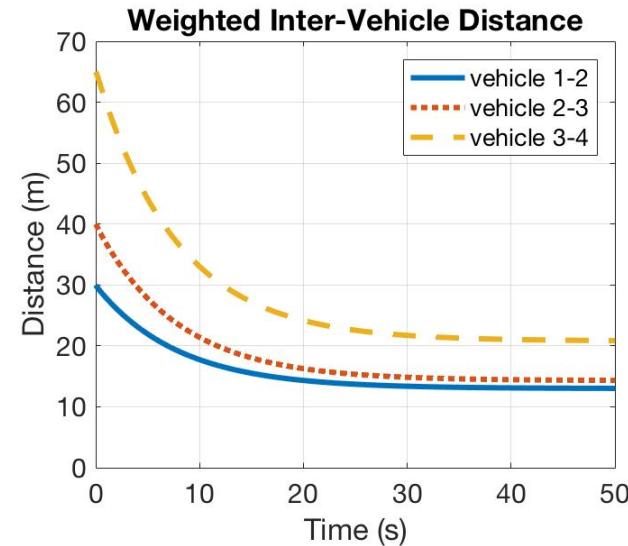
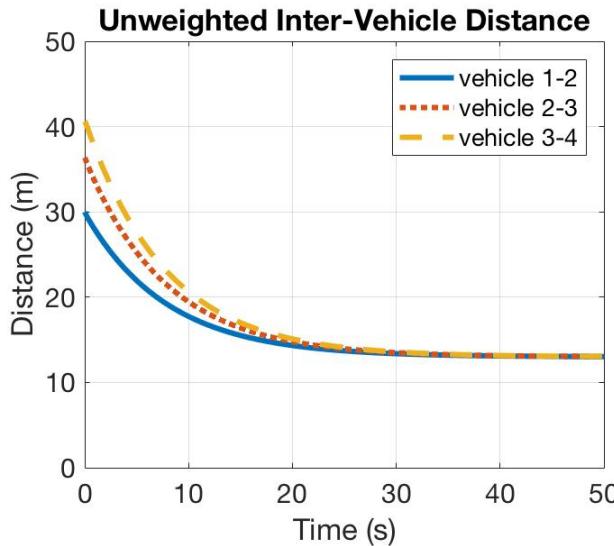




Simulation Study

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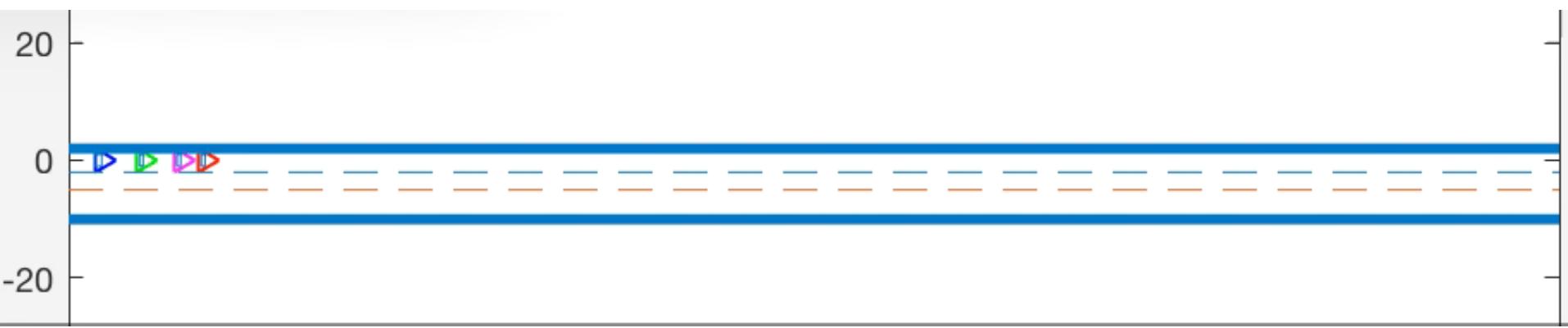
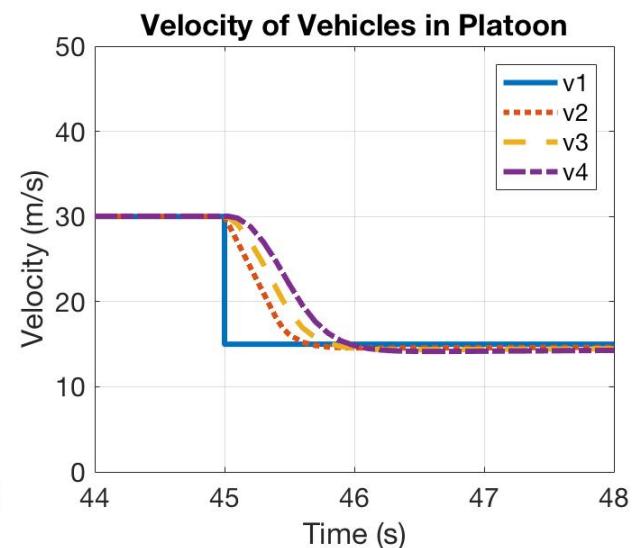
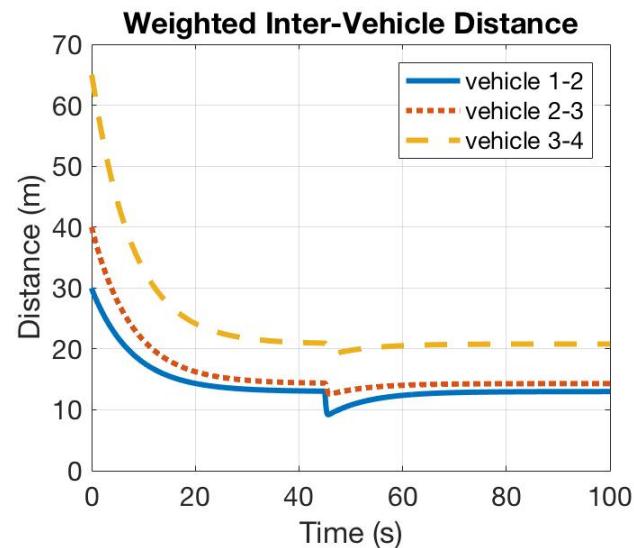
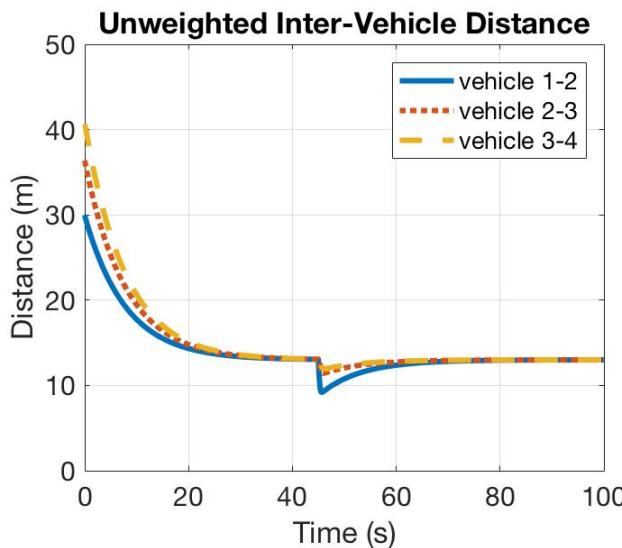
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Simulation Study

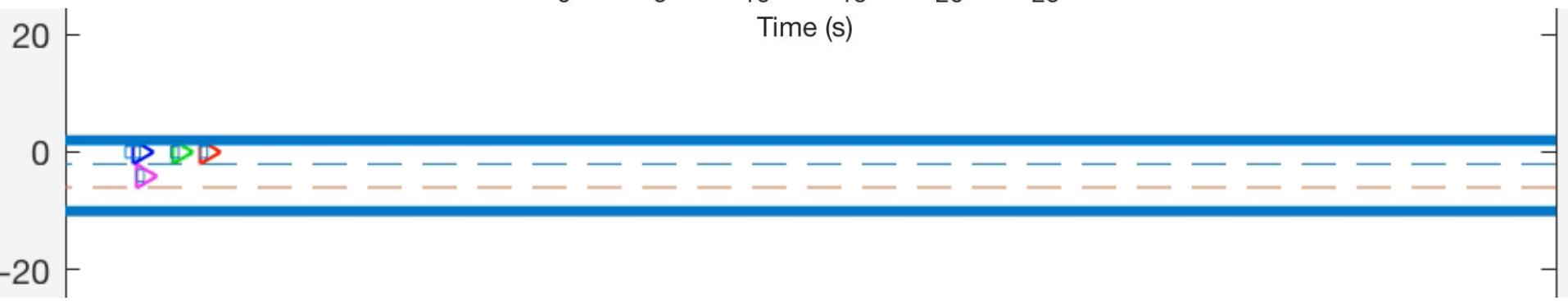
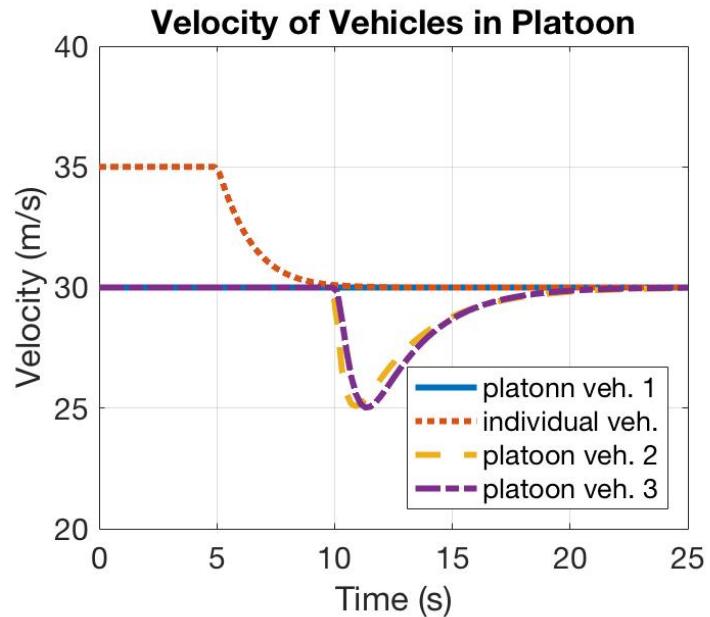
- Scenario 2: Platoon restoration from disturbances





Simulation Study

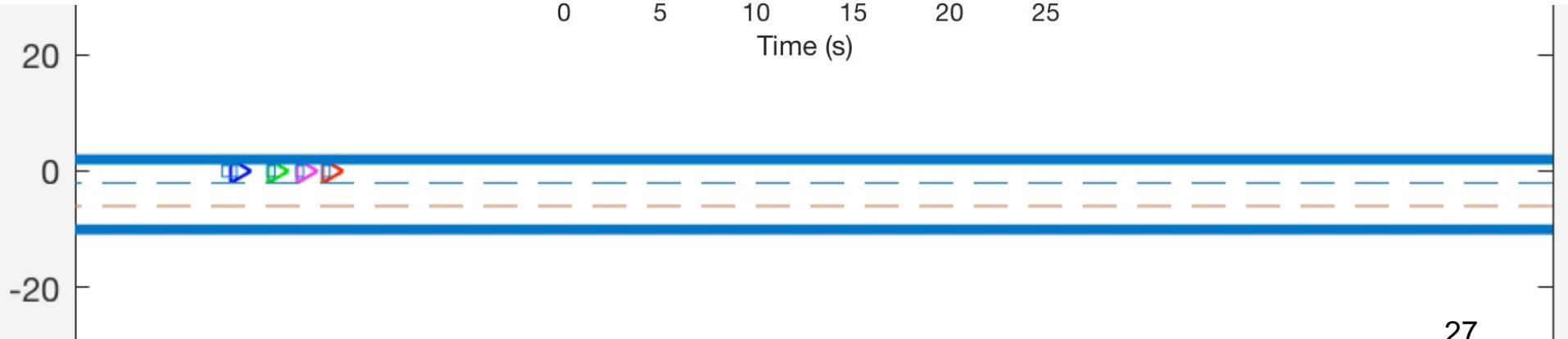
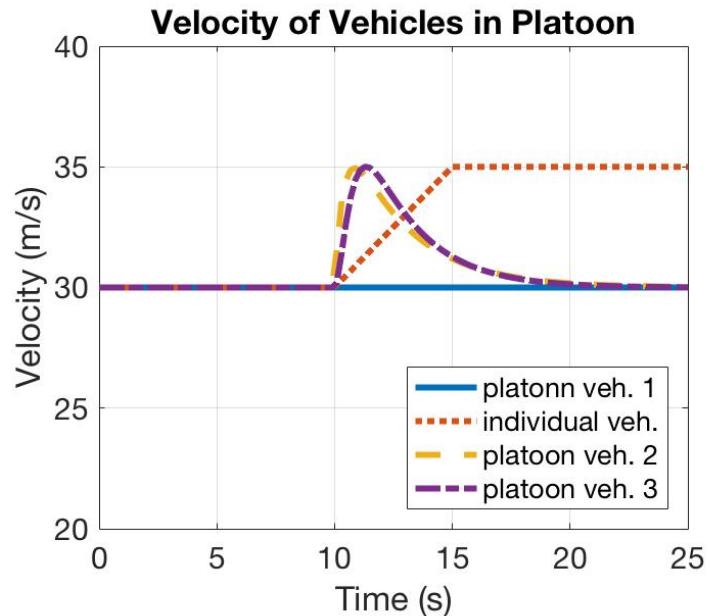
- Scenario 3: Merging and splitting maneuvers





Simulation Study

- Scenario 3: Merging and splitting maneuvers



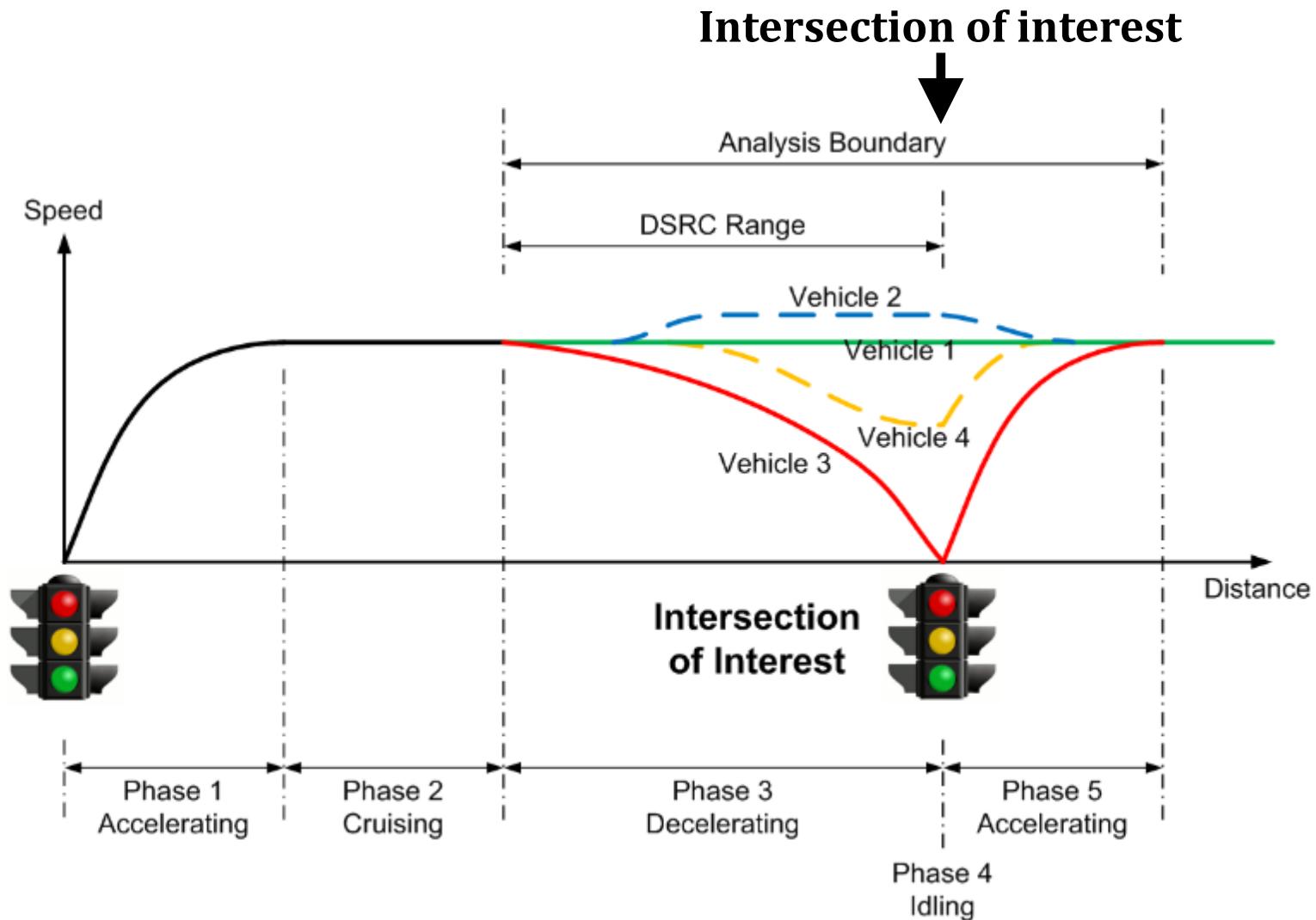


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- Distributed Consensus-Based CACC System
- **Cluster-Wise Cooperative EAD System**
- Other Research Topics

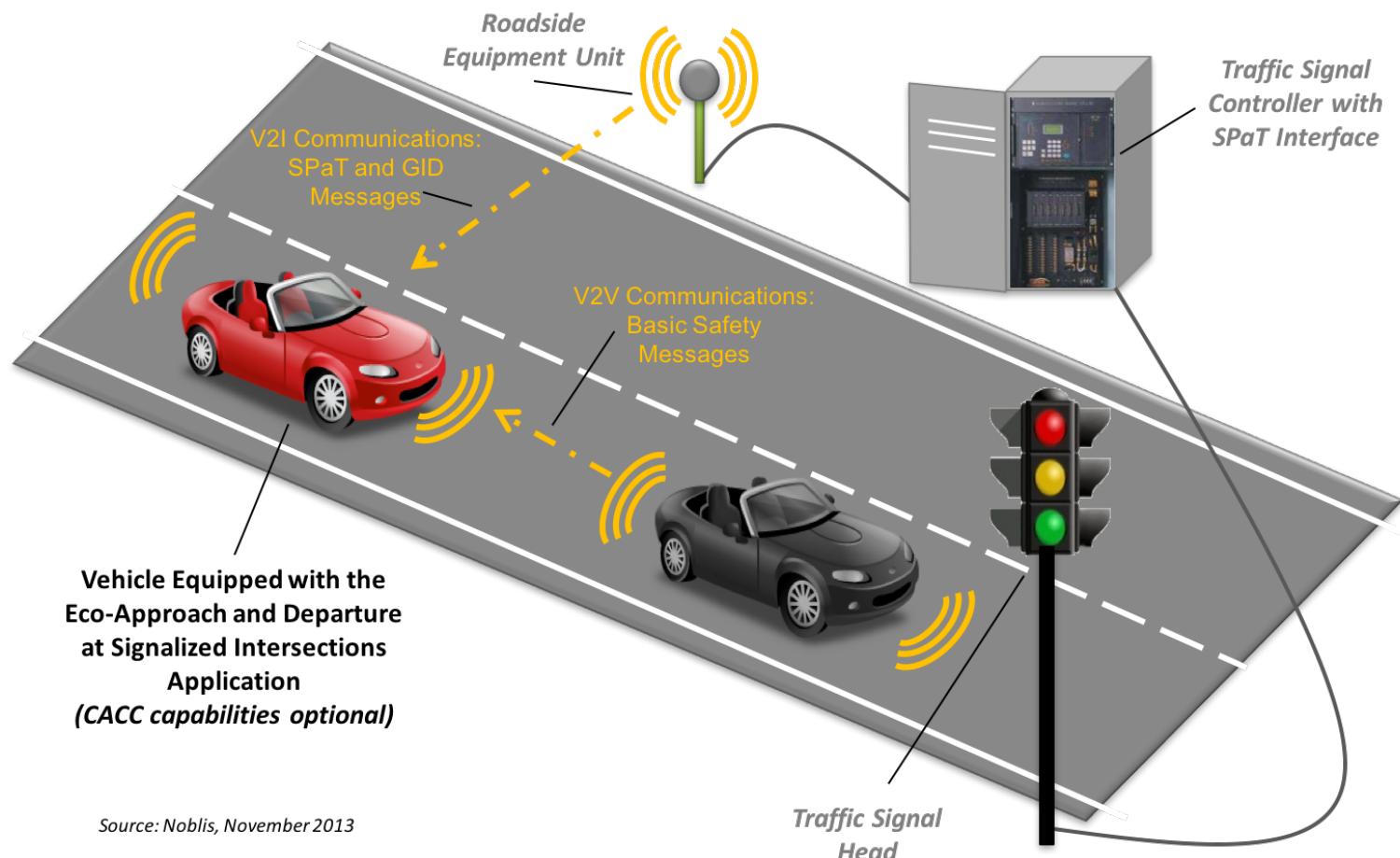


Vehicles Approaching an Intersection





Eco-Approach and Departure (EAD) at Signalized Intersections



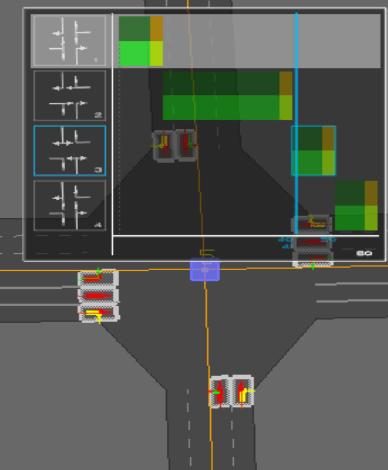


EAD Microscopic Simulation

baseline



eco approach & departure

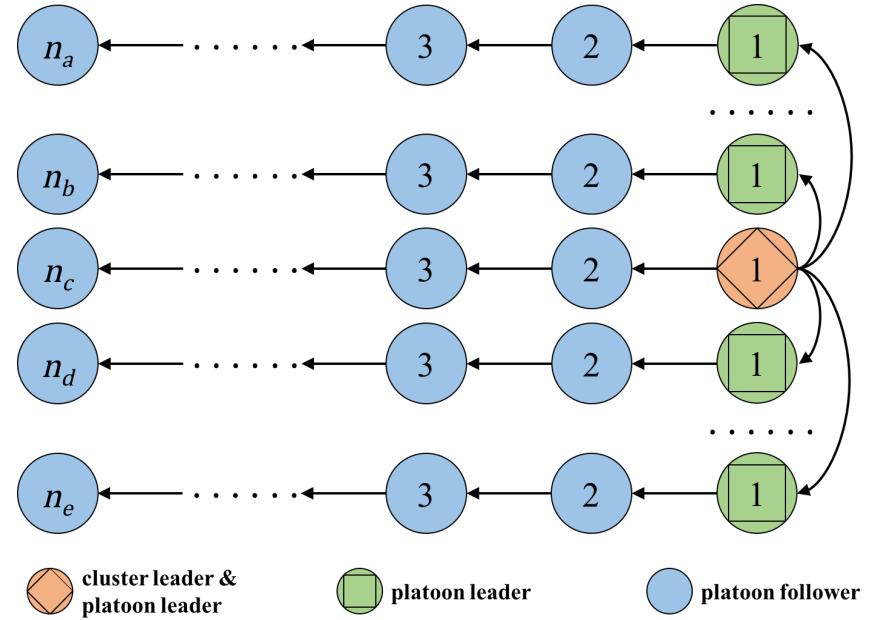




Cluster-Wise Cooperative EAD at Signalized Intersections

- **Methodology**

- 1) Initial Vehicle Clustering
- 2) Intra-Cluster Sequence Optimization
- 3) Cluster Formation Control
- 4) Cooperative Eco-Approach and Departure



- **Advantages**

- 1) Increase traffic flow throughput at a certain arterial segment
- 2) Decrease the travel time to go through signalized intersections
- 3) Decrease the total energy consumption and pollutant emissions



Cluster-Wise Cooperative EAD at Signalized Intersections

Values of Simulation Parameters

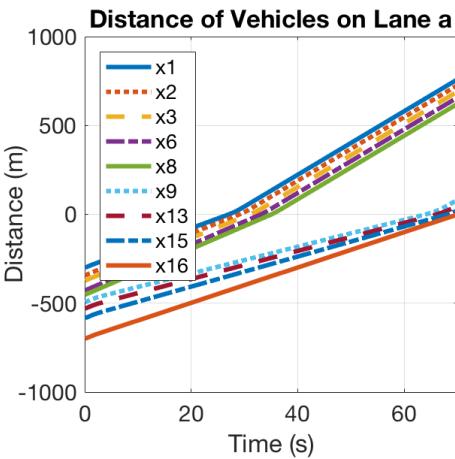
Parameter	Value
Number of Cars (N)	16
Number of Lanes (J)	2
Simulation Time Step	0.1 s
Communication Delay (τ_{ij})	60 ms
Roadway Speed Limit (v^{limit})	17.88 m/s
Maximum Acceleration (a_i^{max})	3.5 m/s ²
GPS Antenna to Front Bumper (l_{if})	3 m
GPS Antenna to Rear Bumper (l_{jr})	2 m
Braking Factor (b_i)	1
Desired Time Headway (t_{ij}^h) for Ego-EAD	2 s
Desired Time Headway (t_{ij}^h) for Coop-EAD	1 s
Red Window (not allowed to travel through)	27 s
Green Window (allowed to travel through)	8 s
Yellow Window (not allowed to travel through)	2 s

Values of Vehicle Parameters

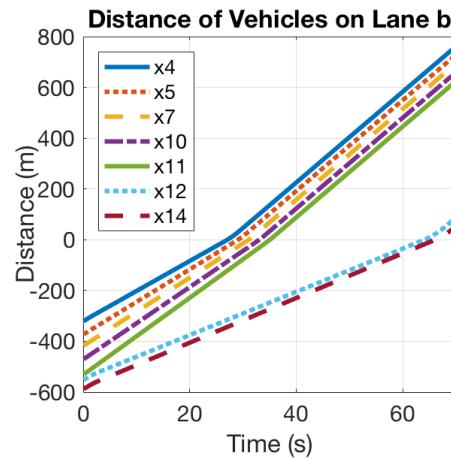
Vehicle Index	Lane/Sequence Index	Initial Speed	Initial Distance to Intersection
1	a/1	13.41 m/s ²	300 m
2	a/2	14.32 m/s ²	344 m
3	a/3	14.42 m/s ²	374 m
4	b/1	14.10 m/s ²	321 m
5	b/2	12.39 m/s ²	372 m
6	a/4	13.09 m/s ²	428 m
7	b/3	13.12 m/s ²	417 m
8	a/5	12.44 m/s ²	452 m
9	a/6	12.77 m/s ²	494 m
10	b/4	13.88 m/s ²	470 m
11	b/5	13.29 m/s ²	529 m
12	b/6	12.67 m/s ²	552 m
13	a/7	12.64 m/s ²	530 m
14	b/7	13.08 m/s ²	588 m
15	a/8	13.22 m/s ²	584 m
16	a/9	13.30 m/s ²	700 m



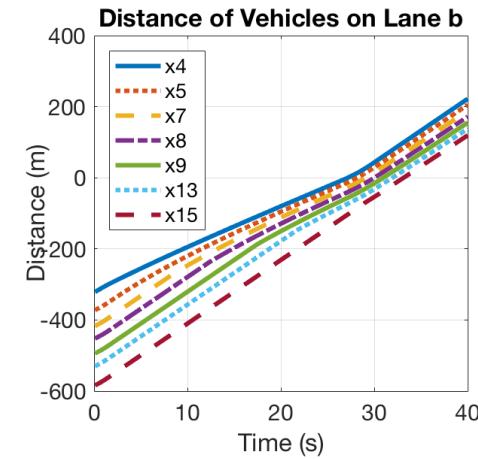
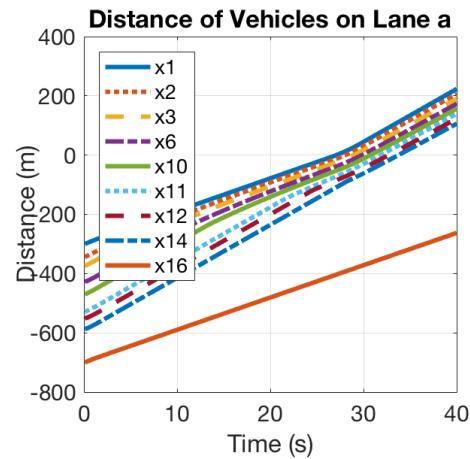
Cluster-Wise Cooperative EAD at Signalized Intersections



Vehicle Trajectories of Ego-EAD



Vehicle Trajectories of Coop-EAD



Comparison of Energy Consumption and Pollutant Emissions of Ego-EAD and Coop-EAD

	<i>HC (g/s)</i>	<i>CO (g/s)</i>	<i>NO_X (g/s)</i>	<i>CO₂ (g/s)</i>	<i>PM2.5 (g/s)</i>	<i>Energy (KJ/s)</i>
Ego-EAD	0.041	1.161	0.144	159.852	0.011	2222.938
Coop-EAD	0.037	1.398	0.141	142.253	0.009	1978.150
Reduction%	10.23	13.25	2.29	11.01	19.91	11.01



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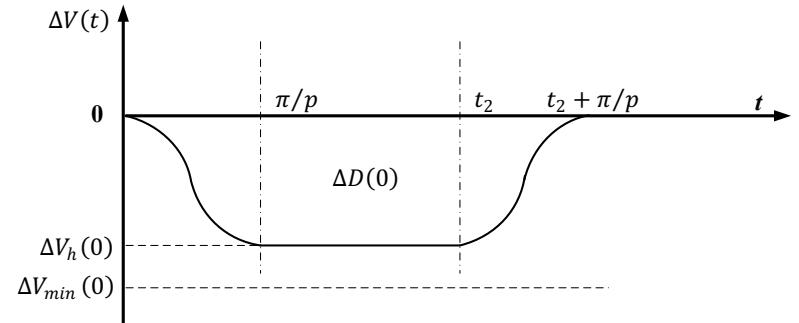
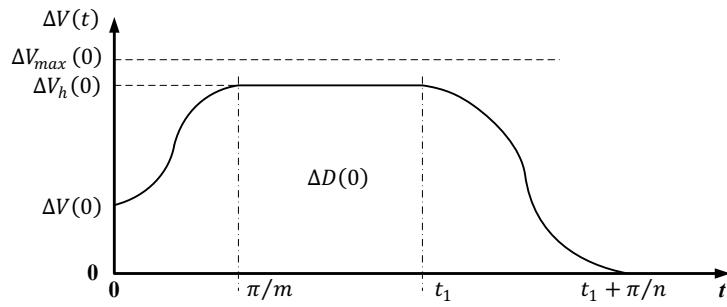
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Other Research Topics

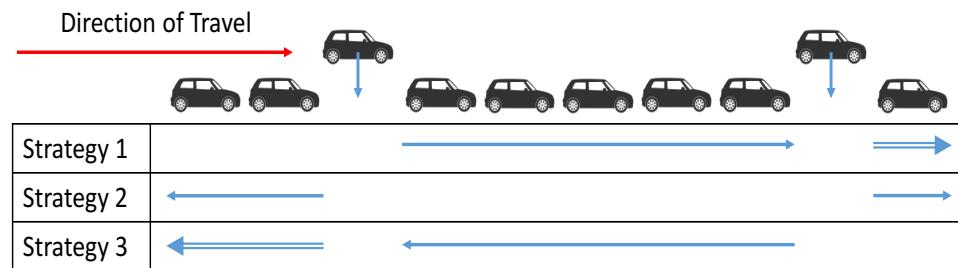
- **Platoon-Wide Eco-CACC System**

Reduce energy consumption at different stages of the CACC operation



- **Intra-Platoon Vehicle Sequence Optimization for Eco-CACC**

Optimize the vehicle sequence when vehicle joins to minimize the total acceleration and deceleration maneuvers





Other Research Topics

- **Connected Eco-Bus: An Innovative Vehicle-Powertrain Eco-Operation System for Efficient Plug-In Hybrid Electric Buses**
 - i. Sponsored by USDOE ARPA-E, cooperating with Oak Ridge National Laboratory and US Hybrid
 - ii. Adopt Eco-Approach and Departure, Eco-Cruise, Efficiency-Based Powertrain Control and Machine Learning-Based Powertrain Control technology
 - iii. Achieve 20% transformational fuel efficiency improvements for transit buses



UNIVERSITY OF CALIFORNIA
UC RIVERSIDE | CE-CERT

 **OAK RIDGE**
National Laboratory

 **US Hybrid**

arpa-e
CHANGING WHAT'S POSSIBLE

 **ERTA**
Riverside Transit Agency



Q & A Time

Thank you very much for the attention!

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Website

